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APPLICATION NUMBER FILING/RECEIPT DATE FIRST NAMED APPLICANT ATTORNEY DOCKET NUMBER

10/010,720 11/13/2001 D. Wade Walke LEX-0382-USA

24231 LEXICON GENETICS INCORPORATED 8800 TECHNOLOGY FOREST PLACE THE WOODLANDS, TX 77381-1160





CONFIRMATION NO. 4248
FORMALITIES LETTER
\*OC000000007651699\*

Date Mailed: 03/15/2002

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

#### FILED UNDER 37 CFR 1.53(b)

#### Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
   Applicant must submit \$ 370 to complete the basic filing fee for a small entity.
- Total additional claim fee(s) for this application is \$252.
  - \$252 for 6 independent claims over 3.
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(I) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 687.
- This application does not contain a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). Applicant must provide such statement. If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000).
- A copy of the "Sequence Listing" in computer readable form has not been submitted as required by 37 C.F.R. 1.821(e). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing" and a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.

05/23/2002 SDENBOB1 00000059 500892 10010720

01 FC:201 370.00 CH 02 FC:202 252.00 CH 03 FC:205 65.00 CH

## For questi ns regarding compliance t these requirements, please contact:

- F r Rules Interpretati n, call (703) 308-4216
- T Purchase Patentin Software, call (703) 306-2600
- For Patentin Software Program Help, call (703) 306-4119 or e-mail at patin21help@uspto.gov or patin3help@uspto.gov

A copy of this notice <u>MUST</u> be returned with the reply.

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Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY

Please type a plus sign (+) inside this box →

Mill # Se 4
PTO/SE/2 (08-08)

Approval for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

					Application Number		10/010,720		
E JCTBANSMITTAI					Filing Date		Nov 13, 2001		
FORM					First Named Inventor		Walke, D. Wade		
FORM					Group Art Unit		1645		
(to be used for all correspondence after initial filing)				l filing)	Examiner Name		Not Yet Assigned		
Total Number of Pages in This Submission 20				n 20	Attorney Docket Number		LEX-0382-USA		
ENCLOSURES (check all that apply)									
$\overline{\Box}$	Fee Transmi	tal Form		Assignmer	nt Papers		After Allowance Communication		
			١	(for an Ap)			to Group  Appeal Communication to Board		
l	Fee At	tached		Drawing(s)	)		of Appeals and Interferences		
	Amendment / Reply		Licensing-related Papers			Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)			
	After Final		Petition			Proprietary Information			
	Affidavits/declaration(s)		Petition to Convert to a Provisional Application				Status Letter		
П	Extension of Time Request			Power of Attorney, Revocation			Other Enclosure(s) (please identify below):		
				- Rei			turn Postcard		
Ш	Express Abandonment Request					<ul><li>Return Copy of Notice to File Missing Parts</li><li>Executed Declaration/POA (6 sets = 12 pages)</li></ul>			
	Information Disclosure Statement		Request for Refund			<ul> <li>Sequence Listing in CRF on diskette</li> <li>Verified Statement regarding Sequence Listing</li> </ul>			
	Certified Copy of Priority Document(s)			CD, Number of CD(s)					
	Response to Missing Parts/			Remarks					
Incomplete Application  Response to Missing Parts									
		37 CFR 1.52 or 1.53							
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Firm or		Lexicon Genetic	s Incorp	oorated		•			
	idual name	Lance K. Ishimo			o. 41,866				
Sign	ature c	lance & Solin	note by	Dwill	V. Heten Per No. 4	H100E	PATENT TRADEMARK OFFICE		
Date May 15, 2002									
				CERT	IFICATE OF MAILING	3			
I here	eby certify that	this correspondence	is being				vice with sufficient postage as first class		
mail in an envelope addressed to: Commissioner for Patents, Arlington, VA 22202 on this date:  May 15, 2002									
Typed or printed name Michelle Klein									
Signature NM NINI KILIN						Date	May 15, 2002		

Applicant(s):

Walke et al.

Application No.:

10/010,720

Filed:

November 13, 2001

Group Art Unit:

1645

Title: Novel Human Kinases and

Atty Docket No.: LEX-0382-USA

Polynucleotides Encoding the Same, and

Uses Thereof

# RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION - FILING DATE GRANTED

Commissioner for Patents Arlington, VA 22202

**Attention: Box Missing Parts** 

Sir:

In response to the "Notice to File Missing Parts of Application - Filing Date Granted" mailed by the U.S. Patent and Trademark Office on March 15, 2002, Applicants submit the following documents to complete the filing requirements of the above-identified application:

- 1. Executed Declaration and Power of Attorney; and
- 2. Return copy of Notice to File Missing Parts of Application Filing Date Granted;
- 3. Sequence Listing on diskette; and
- 4. Verified Statement regarding Sequence Listing.

The U.S. Patent and Trademark Office is hereby authorized to charge the following fees to Lexicon Genetics Incorporated Deposit Account No. 50-0892:

1.	Statutory basic filing fee	\$370.00
2.	Surcharge Fee for filing declaration on a date	
	later than the filing date of the application per	\$65.00
	37 CFR 1.492(e)	
3	Additional Claim Fee - Independent Claims over 3 = 6	\$252.00

4. The Commissioner is hereby authorized to charge any additional fees associated with this paper communication or credit any overpayment to Deposit Account No. 50-0892.

The documents submitted herewith complete the filing of the above patent application. This form is being submitted in duplicate.

Respectfully submitted,

DAVID W. HBUER

May 15, 2002

Date

Lance K. Ishimoto Reg. No.

Reg. No. 41,866

LEXICON GENETICS INCORPORATED

Telephone No.: (281) 863-3333

Enclosures

24231

PATENT TRADEMARK OFFICE